



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Dice
Serial No.: 10/039,368
For: METHODS AND APPARATUS FOR CONTROLLING
SPECULATIVE EXECUTION OF INSTRUCTIONS BASED ON A
MULTIACCESS MEMORY CONDITON
Filing Date: January 3, 2002
Examiner: Unassigned
Art Unit: 2183

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below)

Signature: *Sandra L. Kopacz*

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or (If IDS filed as first/second submission after Final Rejection);
- ☒ under 37 CFR 1.97(b), or (If IDS Filed within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits; whichever occurs last);
- ☐ under 37 CFR 1.97(c) together with either:

- 2 -

- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or (If filed after the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ a Petition under 37 CFR 1.97(d)(2), and
- ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i). (If IDS filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☐ Applicant requests that the attached IDS and cited reference(s) _____ be placed in the application filewrapper. (If IDS filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-SB-08A:

- ☒ Copies of the cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in a prior application, having U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (required for non-English references):

- ☐ The "concise explanation" requirement for reference(s) _____ under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ submission of the enclosed International Search Report.
 - ☐ the enclosed English language abstract.

It is requested that the information disclosed herein be made of record in this application.


Method of payment:

- ☒ No fee is required for this Information Disclosure Statement.
- ☐ Enclosed is a check in the amount of \$_____.
- ☐ A copy of this Statement is enclosed.

If a fee is required for this IDS, or if the enclosed fee is not sufficient, the Patent Office is hereby granted permission to charge Deposit Account No. 50-0901 (Chapin & Huang, L.L.C.) for any additional fees required for this IDS.

Respectfully submitted,

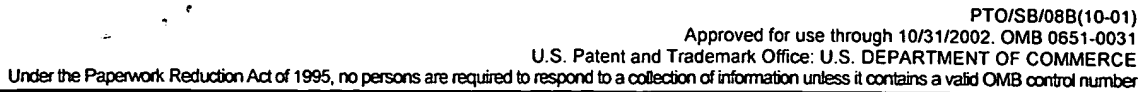
CHAPIN & HUANG, L.L.C.

By: 
Barry W. Chapin, Esq.
U.S.P.T.O. Registration No.: 39,934
Attorney for Applicant
CHAPIN & HUANG, L.L.C.
Westborough Office Park
1700 West Park Drive
Westborough, Massachusetts 01581
Telephone: (508) 366-9600
Facsimile: (508) 616-9805

Attorney Docket No.: SUN01-15(P6910)

Dated: February 25, 2003

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Application Number	10/039,368
Filing Date	January 3, 2002
First Named Inventor	David Dice
Group Art Unit	2183
Examiner Name	Unknown
Attorney Docket Number	SUN01-15(P6910)

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**